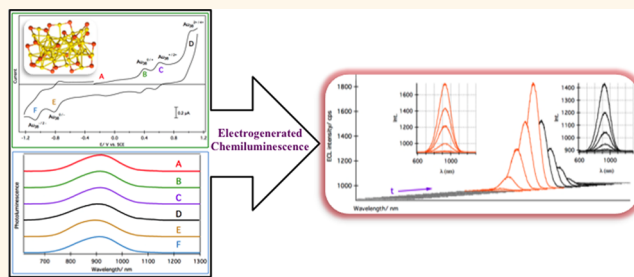


# Highly Efficient Electrogenerated Chemiluminescence of Au<sub>38</sub> Nanoclusters

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**ABSTRACT** An investigation of mechanisms for the near-infrared (NIR) electrogenerated chemiluminescence/electrochemiluminescence (ECL) of Au<sub>38</sub>(SC<sub>2</sub>H<sub>4</sub>Ph)<sub>24</sub> (Au<sub>38</sub>, SC<sub>2</sub>H<sub>4</sub>Ph = 2-phenylethanethiol) nanoclusters both in annihilation and coreactant paths is reported. Essentially, no ECL emission was produced in the annihilation route over the potential range of the accessible redox states of Au<sub>38</sub>, because of the short lifetime and/or low reactivity of the electrogenerated Au<sub>38</sub> intermediates necessary for ECL. Highly efficient light



emission with a nominal peak wavelength of 930 nm in the NIR region was observed in the anodic region upon addition of tri-*n*-propylamine (TPrA) as the coreactant. The ECL mechanisms were elucidated by means of ECL–potential curves and spooling ECL spectroscopy. It was discovered that the Au<sub>38</sub><sup>++</sup> (and also Au<sub>38</sub><sup>3++</sup>) were electrogenerated as the major excited species in the light emission processes. Benzoyl peroxide was also used as a coreactant in the cathodic potential range from which benzoate radicals, with a high oxidizing power, were formed. These radicals accepted electrons from the electrogenerated Au<sub>38</sub><sup>2–</sup> HOMO, resulting in the Au<sub>38</sub><sup>–\*</sup> excited state that emitted light at 930 nm. The photoluminescence of the various Au<sub>38</sub> charge states, namely, Au<sub>38</sub><sup>2–</sup>, Au<sub>38</sub><sup>–</sup>, Au<sub>38</sub><sup>0</sup>, Au<sub>38</sub><sup>+</sup>, Au<sub>38</sub><sup>2+</sup>, and Au<sub>38</sub><sup>4+</sup>, electrogenerated *in situ*, indicated no significant difference in the emission peak wavelength. This information allowed a careful mapping of the relevant ECL mechanisms. It was found that the ECL efficiency could reach an efficiency of 3.5 times as high as that of the Ru(bpy)<sub>3</sub><sup>2+</sup>/TPrA system.

**KEYWORDS:** Au<sub>38</sub> nanoclusters · NIR electrochemiluminescence · radical cations and anions · coreactant systems · spooling spectroscopy · photoluminescence

The distinct molecular-like properties of thiol-protected gold nanoclusters with a general formula Au<sub>n</sub>(SR)<sub>m</sub> (*n* < 100, SR represents a thiol ligand) and their corresponding extraordinary optical,<sup>1–6</sup> electrochemical,<sup>7–9</sup> and catalytic<sup>10–13</sup> properties have made them the focus of a wide range of fundamental and practical studies.<sup>6,14</sup> Included among these studies are extensive efforts to synthesize and characterize novel Au core sizes and adjust the functionality of the protecting ligands, as a means to probe the various factors that alter the cluster properties.<sup>15–18</sup> Knowledge of the discrete optical and electrochemical characteristics permits investigations that explore the mechanisms of electrogenerated chemiluminescence or electrochemiluminescence (ECL) of these Au nanoclusters. ECL is a photoelectrochemical process, in which electrogenerated reactive species, radical cations or anions or other charged redox

states of Au nanoclusters specifically here, participate in an electron transfer (ET) to produce excited states, which emit light upon relaxing to ground states.<sup>19,20</sup> The ECL light emission wavelength depends on the energy gap between the highest occupied molecular orbital (HOMO) and lowest unoccupied molecular orbital (LUMO). ECL signals, which do not require a laser light source,<sup>21</sup> have been used for a wide range of electroanalytical detections mainly due to their high signal-to-noise ratio.<sup>22,23</sup> The ECL emission can be generated and controlled *via* a working electrode potential, in order to trigger a specific detection in a mixture of analytes.<sup>24</sup>

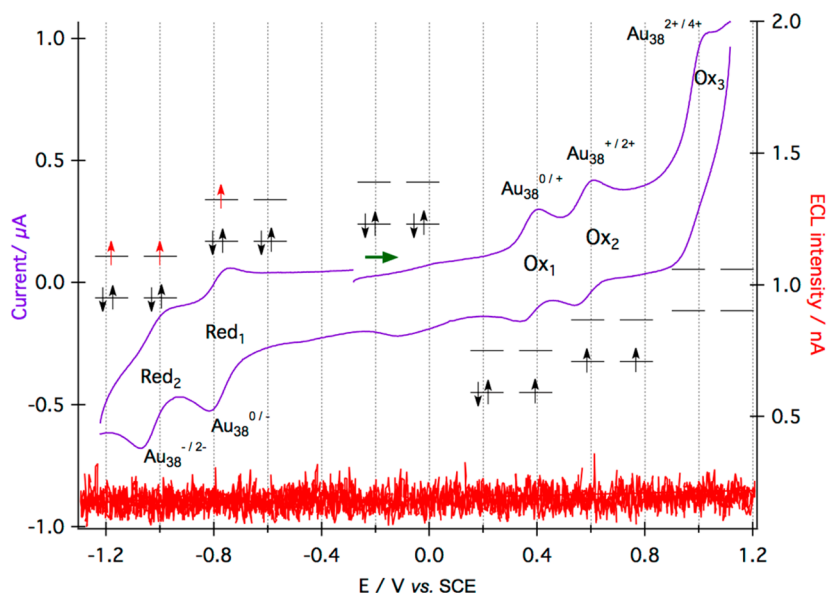
We have recently reported the ECL observed from Au<sub>25</sub>(SR)<sub>18</sub><sup>+</sup> in both annihilation and coreactant routes.<sup>25</sup> These ECL peak wavelengths were found to be in the near-infrared (NIR) region, which correlated

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**Figure 1.** Cyclic voltammogram (purple) and ECL–potential curve (red) of 0.1 mM  $\text{Au}_{38}$  nanoclusters in 1:1 acetonitrile:benzene mixture containing 0.1 M TBAP, which was recorded in a potential window between  $-1.28$  and  $1.22$  V vs SCE at a scan rate of  $100 \text{ mVs}^{-1}$ . The arrow indicates the direction of the potential scanning from the start. The insets illustrate the electronic configurations of various redox states where the degeneracy of the HOMOs and LUMOs might be lifted.

with the clusters' HOMO–LUMO energy gaps. Furthermore, we employed spooling ECL spectroscopy to gain insight into the mechanism of the ECL process. In the spooling technique, consecutive ECL spectra are recorded in the course of the applied potential scanning. Any changes in the emission peak wavelength can be tracked to correlate to the corresponding potentials. The observed NIR emission for  $\text{Au}_{25}(\text{SR})_{18}^+$  not only opens up opportunities for it to be utilized in bioanalytical applications (because the NIR wavelength has low energy and is not absorbed by the live cells and tissues in biological imaging), but also sets the stage to extend the mechanistic study to other charged  $\text{Au}_{25}(\text{SR})_{18}^z$  ( $z = -1, 0$ ) nanoclusters and various sized Au nanoclusters. These studies will provide insight into the effects of the Au cluster core size, its charges and the protecting ligands on the ECL wavelength, intensity and efficiency.

Herein, we report ECL of the very interesting  $\text{Au}_{38}(\text{SC}_2\text{H}_4\text{Ph})_{24}^0$  ( $\text{Au}_{38}$ ,  $\text{SC}_2\text{H}_4\text{Ph} = 2$ -phenylethanthiol) nanocluster, in which the face-fused biicosahedral  $\text{Au}_{23}$  core differentiates from other studied clusters in that two  $\text{Au}_{13}$  icosahedra fused together *via* sharing a common  $\text{Au}_3$  face.<sup>26</sup> The atomic structure of this nanocluster predicts a HOMO–LUMO gap of 0.9 eV,<sup>8,9</sup> and it exhibits an extremely well-defined electrochemical behavior. These will allow a thorough interrogation of the ECL mechanism in the presence of coreactants. It was discovered that coreactants such as tri-*n*-propylamine (TPrA) and benzoyl peroxide (BPO) enhanced greatly the ECL emission of  $\text{Au}_{38}$  clusters, while that in the annihilation route was very weak. In the two coreactant reactant systems, either the electrogenerated TPrA\* with a high reducing power of ( $E^\circ = -1.7 \text{ eV}$ )<sup>27</sup> or

the benzoate radical ( $\text{C}_6\text{H}_5\text{CO}_2^*$ ) with a high oxidizing power ( $E^\circ = +1.5 \text{ eV}$ )<sup>28</sup> reacts with the various defined redox states of the  $\text{Au}_{38}$  generated in the anodic (for TPrA) or cathodic (for BPO) potential ranges, respectively. The resulting ECL spectra revealed a peak wavelength at *ca.* 930 nm in the presence of either TPrA or BPO as coreactants. The combination of ECL–potential curves with spooling ECL spectra explicitly elucidated ECL mechanisms, where  $\text{Au}_{38}^{+*}$ ,  $\text{Au}_{38}^{2+*}/\text{Au}_{38}^{3+*}$  and  $\text{Au}_{38}^{-*}$  were the electrogenerated excited species emitting light. In the  $\text{Au}_{38}/\text{TPrA}$  coreactant system, the TPrA\* reacted with  $\text{Au}_{38}^{2+}$  and  $\text{Au}_{38}^{3+}/\text{Au}_{38}^{4+}$  electrogenerated in the anodic region, producing  $\text{Au}_{38}^{+*}$  and  $\text{Au}_{38}^{2+*}/\text{Au}_{38}^{3+*}$ . In the cathodic region, the benzoate radical and  $\text{Au}_{38}^{2-}$  were formed in the  $\text{Au}_{38}/\text{BPO}$  system to produce  $\text{Au}_{38}^{-*}$ . All these three excited species emitted at the same peak wavelength. This was verified through a careful *in situ* spectrophotoelectrochemical study of these  $\text{Au}_{38}(\text{SR})_{24}^z$  species ( $z = 2-, 1-, 0, 1+, 2+, \text{ and } 3+/4+$ ) generated independently *via* electrolysis, at various applied potentials. The above observation and the similarities of the emission for each of the corresponding excited states allow control of the ECL intensity in the NIR region.

## RESULTS AND DISCUSSION

**Correlating Electrochemistry of the  $\text{Au}_{38}$  to Its Electronic Configuration.** Figure 1 shows the cyclic voltammogram of 0.1 mM  $\text{Au}_{38}$  in 1:1 acetonitrile:benzene mixture containing 0.1 M tetra-*n*-butylammonium perchlorate (TBAP) as the supporting electrolyte. The  $\text{Au}_{38}$  undergoes five successive redox reactions in both anodic and cathodic regions with formal potentials of  $-0.762$ ,  $-1.010$ ,  $0.390$ ,  $0.598$ , and  $0.994$  V vs SCE, assigned

as Red<sub>1</sub> (Au<sub>38</sub><sup>0</sup>/Au<sub>38</sub><sup>-</sup>) and Red<sub>2</sub> (Au<sub>38</sub><sup>-</sup>/Au<sub>38</sub><sup>2-</sup>), Ox<sub>1</sub> (Au<sub>38</sub><sup>+/Au<sub>38</sub><sup>0</sup>), Ox<sub>2</sub> (Au<sub>38</sub><sup>2+/Au<sub>38</sub><sup>+</sup>), and Ox<sub>3</sub> (Au<sub>38</sub><sup>3+/4+/Au<sub>38</sub><sup>2+</sup>), respectively. The above redox reaction pattern agrees well with that reported by Quinn and Liljeroth *et al.* for their pure Au<sub>38</sub> prepared using a different method.<sup>8</sup></sup></sup></sup>

Aiken, Häkkinen, Tsukuda and co-workers used experimental powder XRD data and employed DFT calculations<sup>29</sup> to predict along with the Zeng group<sup>30</sup> the structure of the Au<sub>38</sub> as Au<sub>23</sub>@(Au(SR)<sub>2</sub>)<sub>3</sub>(Au<sub>2</sub>(SR)<sub>3</sub>)<sub>6</sub> having a nanorod-like biicosahedral core plus three monomeric staples (RS-Au-SR) and six dimeric staples (RS-Au-S(R)-Au-SR). This prediction was later verified by the Jin group, who successfully isolated and refined the Au<sub>38</sub> single crystal structure.<sup>26</sup> The calculations of the Au<sub>38</sub> electronic structure<sup>29</sup> revealed that the Au<sub>38</sub> has two degenerated HOMOs occupied with four electrons, and two degenerated LUMOs. This information provided the basis of the assignments for the electrochemical features shown in Figure 1.

In the anodic scan, the Au<sub>38</sub> undergoes two successive reversible one-electron oxidation reactions, as illustrated by the insets for HOMO–LUMO configuration illustrations in Figure 1. Assuming the original energies of the two degenerated HOMOs are maintained upon oxidation, the difference between the formal potentials of the Ox<sub>1</sub> and Ox<sub>2</sub> (0.208 V) is likely the result of less static repulsion and in turn greater driving force needed to remove the second electron after the first oxidation. The Au<sub>38</sub><sup>2+</sup> was further oxidized (Ox<sub>3</sub>) irreversibly when the applied potential moved to more positive potentials. From the ratio of the Ox<sub>3</sub> peak current to that of Ox<sub>2</sub>, it appears that the next two electrons in the degenerate HOMOs are removed at the same or very similar potential and appear as a single two electron wave (Ox<sub>3</sub> reaction). When the scan rate was increased to 1000 mV/s, it is evident that the first two of the oxidation reactions are reversible; see Figure S3 in the Supporting Information (SI). To further test the reversibility of the Ox<sub>1</sub> and Ox<sub>2</sub> oxidation reactions, CVs for the same Au<sub>38</sub> solution at various scan rates between 100 and 1000 mV/s were recorded, Figure S4 (SI) (A), where the two oxidation (Ox<sub>1</sub> and Ox<sub>2</sub>) waves in a potential window between –0.28 and 0.72 V vs SCE, were covered. The anodic and cathodic peak currents were identical for the first two oxidation reactions.

In the cathodic scan, electrons were injected consecutively into the two degenerated LUMOs revealing the Red<sub>1</sub> and Red<sub>2</sub> waves, Figure 1. Similar electrochemical evaluation was performed for Red<sub>1</sub> and Red<sub>2</sub> reactions as above in the potential range of –0.28 to –1.22 V vs SCE (Figure S5 (SI)). Red<sub>1</sub> is quasi-reversible (becomes reversible at higher scan rates), which agrees with the results obtained by scanning electrochemical microscopy (SECM),<sup>8</sup> where a EC mechanism for Red<sub>1</sub> with a chemical reaction (desorption of Au-thiol motifs) rate constant for the homogeneous step of 8 s<sup>-1</sup>.

In reference to Red<sub>2</sub>, the ligand removal reaction following the electrochemical reduction<sup>13</sup> is faster, however the reduction wave is still quasi-reversible at all scan rates probed.

The HOMO–LUMO gap<sup>29</sup> was determined to be 0.952 eV. This was calculated from the potential difference between the first oxidation and first reduction waves ( $E^{\circ}_{\text{Ox1}} - E^{\circ}_{\text{Red1}} = 1.158$  eV) with an associated charge correction<sup>4</sup> (Ox<sub>1</sub> and Ox<sub>2</sub> potential differences, *ca.* 0.206 eV). This value is close to that determined from the optical HOMO–LUMO gap (0.9 eV) following the method reported previously (see Figure S1 (SI)).<sup>9</sup> Figure S1 (SI) displays the HOMO–1 to LUMO, HOMO–2 to LUMO, and HOMO–2 to LUMO+1 transitions as well, which correlate well to those from theoretical calculations.<sup>30</sup>

**ECL in Annihilation Route.** Figure 1 also displays the recorded ECL intensity *versus* the applied potential (ECL–potential curve) for the same 0.1 mM Au<sub>38</sub> cluster electrolyte solution utilized during the potential scanning between –1.22 and 1.13 V vs SCE. While the Au<sub>38</sub> has several accessible redox species with energies that in principle could react to produce ECL, no measurable ECL intensity was detected at varying potentials in this range. This is most likely due to the short lifetime and/or low reactivity of all charged Au<sub>38</sub> species, electrogenerated during the time scale of this electrochemical scan. Consequently, it is natural to use a coreactant<sup>20</sup> system that can produce strong electrogenerated oxidizing or reducing radicals that are formed at the potentials near to those of the electrogenerated Au<sub>38</sub> species permitting ET reactions and promoting ECL enhancement. Tri-*n*-propylamine (TPrA)<sup>27,31,32</sup> and benzoyl peroxide (BPO)<sup>28</sup> were selected as coreactant candidates giving the well-known oxidizing and reducing powers of their electrogenerated radical intermediates (*vide supra*).

**ECL of the Au<sub>38</sub> in the Presence of TPrA.** Figure 2 displays ECL–potential curve of the above 0.1 mM Au<sub>38</sub> in the 1:1 acetonitrile:benzene electrolyte solution with 6.3 mM TPrA at a scan rate of 100 mV/s. The ECL onset was at 0.810 V vs SCE, at which the Au<sub>38</sub> was already oxidized to Au<sub>38</sub><sup>2+</sup> ( $E^{\circ} = 0.598$  V vs SCE). At this potential, TPrA began to undergo an oxidation reaction<sup>33</sup> (eq 1) producing TPrA radical cation (TPrA<sup>•+</sup>), which then rapidly deprotonated to form TPrA radical (TPrA<sup>•</sup>) (eq 2).<sup>27</sup> The high reducing TPrA<sup>•</sup>, with a reduction power of –1.7 eV as determined by Lai and Bard,<sup>27</sup> injected an electron to the Au<sub>38</sub><sup>2+</sup> LUMO orbital, producing the excited state, Au<sub>38</sub><sup>2+\*</sup> (eq 3 and the blue inset of Figure 2), that emitted light upon relaxing to the ground state (eq 4). The ECL mechanism is very similar to that in the Ru(bpy)<sub>3</sub><sup>2+</sup>/TPrA coreactant system.<sup>34</sup> Furthermore, ECL in the range of 0.900 and 1.200 V under the brown current segment in Figure 2 is attributed to Au<sub>38</sub><sup>3+\*/Au<sub>38</sub><sup>2+\*</sup> (most probably to Au<sub>38</sub><sup>3+\*</sup>, due to the indistinguishable two-electron reaction),</sup>

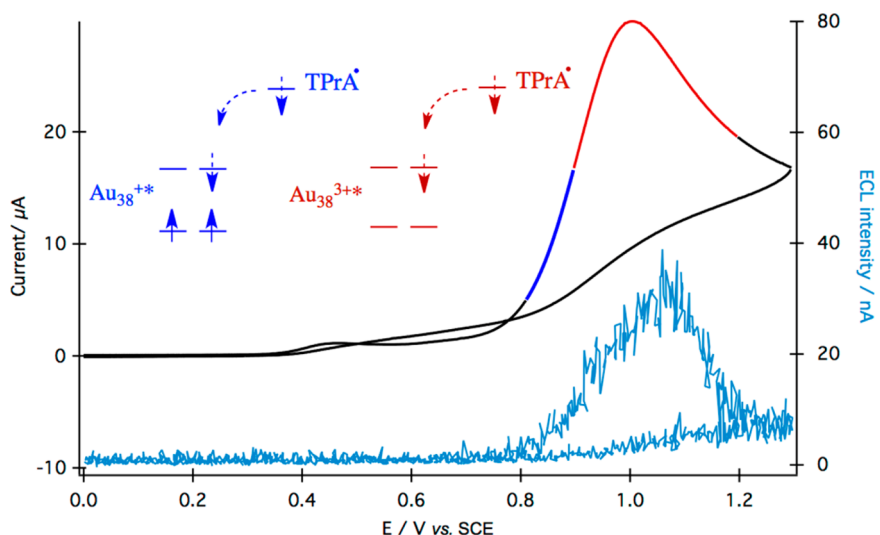
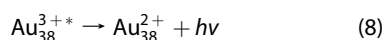
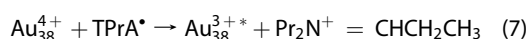
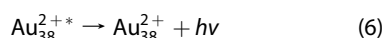
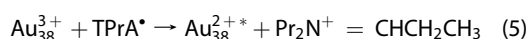
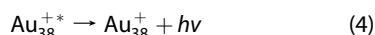
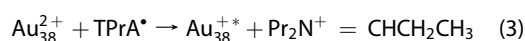
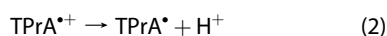
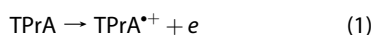


Figure 2. ECL–potential curve of the 0.1 mM  $\text{Au}_{38}$  nanocluster in 1:1 acetonitrile:benzene mixture containing 0.1 M TBAP in the presence of 6.3 mM tri-*n*-propyl amine (TPrA). The insets show schematic electronic reaction diagrams of  $\text{Au}_{38}^{2+}$  and  $\text{TPrA}^*$  (blue) as well as  $\text{Au}_{38}^{3+*}$  and  $\text{TPrA}^*$  (brown) to generate excited species  $\text{Au}_{38}^{+*}$  and  $\text{Au}_{38}^{3+*}$ , respectively.

eqs 5–8, and the brown inset in Figure 2. The ECL intensity evolution and devolution followed those of  $\text{TPrA}^*$ , as illustrated by the color-coded current segments in Figure 2.



The accumulated ECL spectrum (total ECL emission measured during the course of the potential scanning) revealed a very similar peak wavelength (930 nm, 1.33 eV) as that (914 nm, 1.36 eV) of the PL spectrum of a 0.05 mM  $\text{Au}_{38}$  clusters measured at room temperature (Figure 3). This apparent PL peak wavelength and its shape (*vide infra*) are due to the temperature-induced line broadening as described by Liljeroth, Quinn and co-workers.<sup>35</sup> They elegantly showed that further luminescence peaks can be resolved at very low temperatures, with a peak at 0.98 eV (1266 nm) related to HOMO–LUMO transition that is resonant with the  $\text{Au}_{38}$  absorption onset (Figure S1 (SI)). The first PL peak is hidden by others at 1.15 eV (1079 nm), 1.26 eV

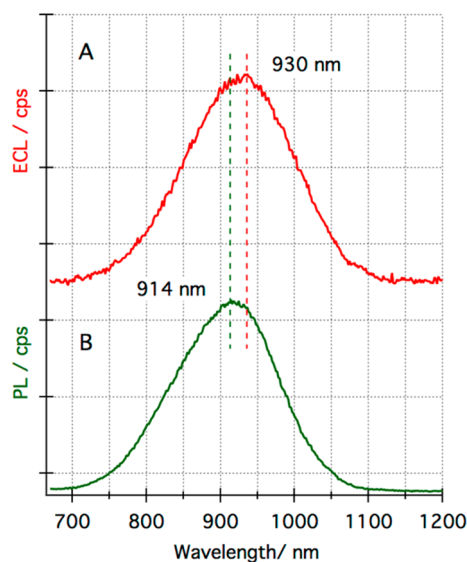
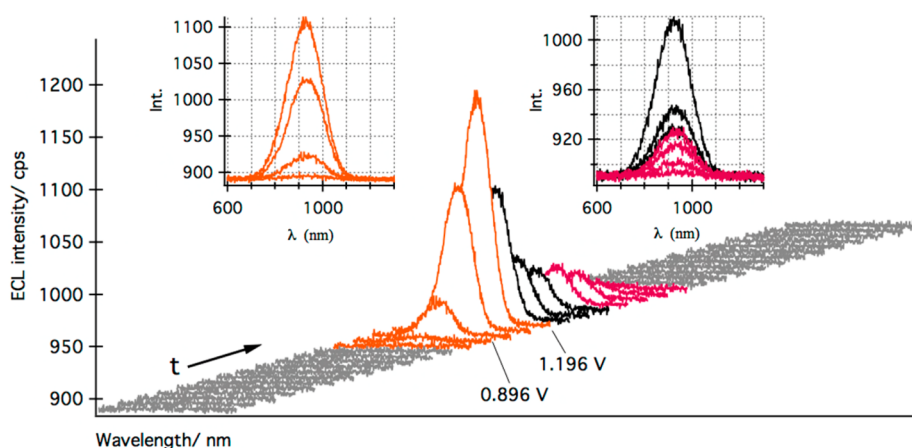


Figure 3. (A) Accumulated ECL spectrum (red) of the 0.1 mM  $\text{Au}_{38}$  nanocluster electrolyte solution with 6.3 mM TPrA and (B) photoluminescence spectrum (green) of a 0.05 mM  $\text{Au}_{38}$  in the 1:1 acetonitrile:benzene electrolyte solution. The excitation wavelength for PL was at 532 nm. Both spectra were acquired at room temperature (295 K) using an Andor BR-DD CCD camera cooled at  $-65^\circ\text{C}$  and attached to an Acton spectrograph.

(985 nm), and 1.46 eV (850 nm) related to transitions from larger energy gaps. The nominal PL maximum at 1.36 eV (914 nm) in Figure 3B, which agrees very well with the literature value 1.35 eV (919 nm),<sup>35</sup> sits between relative strong PL peaks at 1.26 eV (985 nm), and 1.46 eV (850 nm). The Whetten's group believed that the photoluminescence mechanism of different sizes of Au nanocrystals involves only gold core electronic states.<sup>36</sup> Therefore, it is unlikely that the luminescence is arising out of the –S–Au–S–Au–S–



**Figure 4.** Spooling ECL spectra of 0.1 mM Au<sub>38</sub> nanoclusters in 1:1 acetonitrile:benzene mixture containing 0.1 M TBAP in the presence of 6.3 mM TPrA at a scan rate of 100 mV/s. The potential window was between  $-0.51$  and  $1.3$  V vs SCE, and each spectrum was recorded in 1 s time interval or 100 mV potential interval. The insets show stacked spectra demonstrating the ECL evolution and devolution in course of the cyclic potential scanning.

or  $-S-Au-S-$  staple motifs. However, the Au<sub>38</sub> excited-state dynamics is complex and might not be a typical two-state relaxation from core to semiring states. It might rather proceed like its counterpart, the Au<sub>25</sub>,<sup>37</sup> through a manifold of electronic states.

The ECL emission observed here might have a similar shape and transmissions to those of the PL process but is masked by the broadening at room temperature, while the 16 nm red shift relative to the PL in Figure 3 is likely due to the self-absorption, as observed in other ECL systems.<sup>20</sup>

To gain further information on the ECL mechanisms of the Au<sub>38</sub> in the presence of TPrA, we employed spooling ECL spectroscopy that allowed us to track the ECL spectra and intensity as a function of the applied potential (*i.e.*, time) while scanning. Figure 4 presents the spooling ECL spectra of the Au<sub>38</sub> with 6.3 mM TPrA, in the same potential window as the ECL–potential curve in Figure 2 ( $-0.51$  to  $1.3$  V vs SCE). The insets demonstrate the evolution of the ECL on the anodic scan until  $1.196$  V (left) and the devolution for the further scanning to  $1.300$  V and then the return scan (right).

The onset ECL spectrum with a peak wavelength of 930 nm was recorded at  $0.896$  V, at which potential Au<sub>38</sub><sup>+</sup> was produced through the reaction illustrated in eq 3. As the applied potential was extended to more positive potentials (*e.g.*,  $0.996$  V), a resulting higher concentration of TPrA\* in the vicinity of the working electrode reacted with electrogenerated Au<sub>38</sub><sup>2+</sup>, leading to an enhanced ECL intensity. It is interesting to note that at higher potentials ( $E > 0.996$  V vs SCE) at which Au<sub>38</sub><sup>2+</sup> was further oxidized to Au<sub>38</sub><sup>3+</sup> or Au<sub>38</sub><sup>4+</sup>, the ECL intensity increased 5 fold, likely due to the additional involvement of the processes outlined in eqs 5–8. At  $1.196$  V, the ECL peak intensity reached its maximum (10 times than that at  $0.896$  V), importantly at the same peak wavelength. In the reverse scan the ECL intensity decreases due to the reverse reactions as

well as the resulting depletion of TPrA\* and charged Au<sub>38</sub> species. The trend of ECL evolution and devolution follows that of the ECL–potential curve in Figure 2. Importantly the data suggest that Au<sub>38</sub><sup>+</sup>, Au<sub>38</sub><sup>2+</sup>, and Au<sub>38</sub><sup>3+</sup> likely have the same emission peak wavelength, while the intensities vary due to the different electron populations.

To examine the effect of the relative oxidation states of the Au<sub>38</sub> on the luminescence intensity and wavelength, we performed an *in situ* spectrophotoelectrochemical study of the 0.1 mM Au<sub>38</sub><sup>0</sup> solution. In this experiment, the applied potential was held at different values corresponding to the various redox states of Au<sub>38</sub>, illustrated in Figure 1. A 0.1 mM Au<sub>38</sub> electrolyte solution freshly prepared under Ar atmosphere in a 1 mm thin-layer electrochemical cell. The electrolysis was performed using a Pt mesh as the working electrode, a nonaqueous reference electrode (Ag wire immersed in 0.01 M Ag<sup>+</sup>/0.1 M TBAP in acetonitrile), and a Pt wire as the counter electrode.<sup>12</sup> The mesh electrode area was excited using a 532 nm laser, and single or spooling photoluminescence spectra were acquired from the narrow side of the thin layer solution by means of the same CCD camera and spectrograph set. This led to the *in situ* formation of the desired charge species Au<sub>38</sub><sup>3+/4+</sup>, Au<sub>38</sub><sup>2+</sup>, Au<sub>38</sub><sup>+</sup>, Au<sub>38</sub><sup>0</sup>, and Au<sub>38</sub><sup>2-</sup> at 1.0, 0.65, 0.45,  $-0.85$  and  $-1.1$  V vs SCE, respectively (Figure 5B–F). At the same time, spooling PL spectra of the solution were recorded during the electrolysis to help track possible changes in the PL emission wavelength and intensity. Figure 5 shows the PL spectra of the different charge species Au<sub>38</sub><sup>z</sup> ( $z = 0$  (A), 1+ (B), 2+ (C), 3+/4+ (D), 1– (E), and 2– (F)), and the insets illustrate the typical spooling PL spectra accumulated during a continuous potential scanning that is equivalent to an electrolysis. It is evident that there is no significant peak wavelength change as we progress from one charge state to



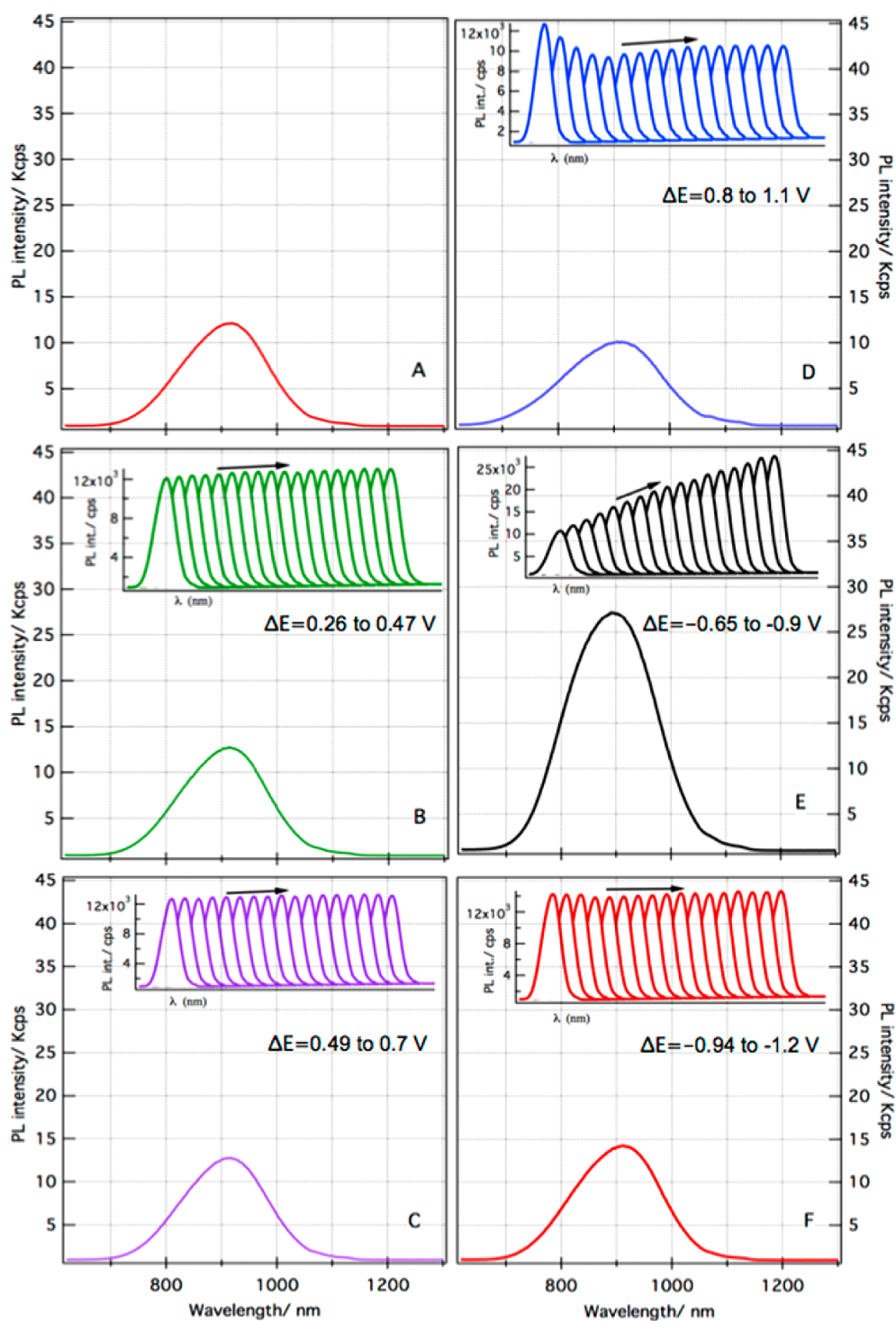
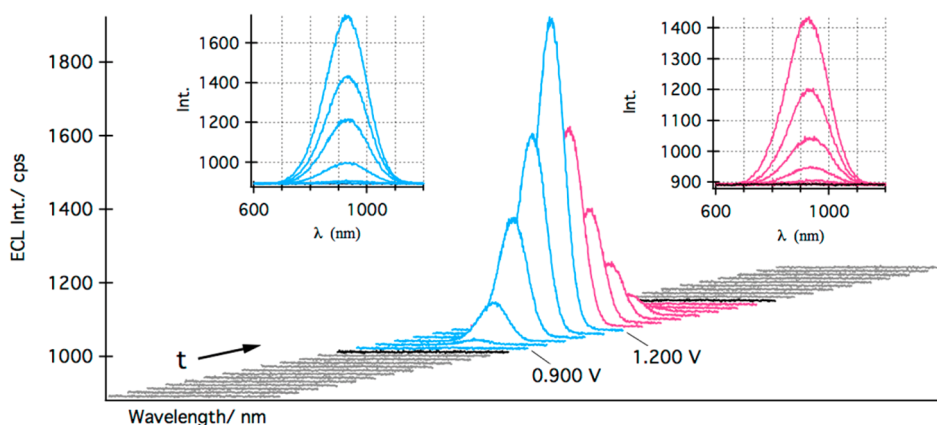


Figure 5. PL spectra of the  $\text{Au}_{38}^z$  ( $z = 2-, 1-, 0, 1+, 2+, 3+/4+$ ) in 1:1 acetonitrile:benzene mixture containing 0.1 M TBAP using a 1 mm thin layer spectroelectrochemical cell (see Experimental Section). The applied potential was scanned in a range as indicated in each panel, corresponding to  $\text{Au}_{38}^0$ ,  $\text{Au}_{38}^+$ ,  $\text{Au}_{38}^{2+}$ ,  $\text{Au}_{38}^{3+/4+}$ ,  $\text{Au}_{38}^-$ ,  $\text{Au}_{38}^{2-}$ . The insets demonstrate the typical spooling PL spectra in course of a continuous potential scanning at a scan rate of 100 mV/s in a range as indicated by  $\Delta E$ . Each spectrum was acquired for 2 s, and the time interval was 50 s. The arrows indicate the electrolysis time and spooling direction. All samples were excited with a 532 nm laser source.

the next, except that of the  $\text{Au}_{38}^-$  blue-shifted, while the intensity of the PL emission does vary with the charge. Importantly, from  $\text{Au}_{38}^0$  to  $\text{Au}_{38}^+$  and  $\text{Au}_{38}^{2+}$  there is no notable change in the recorded PL intensity ( $\text{PL}_{\text{int.}} \sim 12$  Kcps), while  $\text{Au}_{38}^{3+}/\text{Au}_{38}^{4+}$  shows a

decrease to  $\sim 9$  Kcps. The  $\text{Au}_{38}^-$  revealed the highest intensity up to 26 Kcps at the end. This could be due to its electronic configuration with one electron in each LUMO, which leads higher probability of transition back to the only HOMO having a vacancy of one



**Figure 6.** Spooling ECL spectra of the 0.1 mM  $\text{Au}_{38}$  in 1:1 acetonitrile:benzene mixture containing 0.1 M TBAP with 50 mM TPrA at a scan rate of 100 mV/s. The potential window was between  $-0.51$  and  $1.3$  V vs SCE and each spectrum was recorded in 1 s time interval. The insets show stacked spectra illustrating ECL evolution and devolution during the potential scanning.

electron. Finally, the  $\text{Au}_{38}^{2-}$  showed basically no change in the course of its formation.

On the basis of above electrochemistry, PL results and assumption that original energies of the two degenerated HOMOs and LUMOs are maintained upon oxidation and reduction, it is plausible that ECL might have the same transitions as those of the PL process and displays the same peak wavelength of 930 nm. Similar to the PL modes of the  $\text{Au}_{25}$ ,<sup>37</sup> higher energy core excited states seemed to relax to the semiring states which emitted light upon relaxing to HOMO. The light emission was found to be 930 nm, higher in energy than the HOMO–LUMO gap.

The  $\text{Au}_{38}$ /TPrA coreactant system was further studied by varying the concentration of TPrA ([TPrA]) from 12.5, to 25, 50, 100, and 200 mM. With the increased [TPrA], the amount of TPrA\* was augmented in the vicinity of the electrode at the same potential, thus an increase in ECL intensity was expected. The highest ECL intensity was observed in the presence of 50 mM TPrA, Figure 6. The onset ECL–potential curve was at 0.850 (Figure S6 (SI)) while that in the spooling ECL spectra (Figure 6) was discovered at 0.900 V vs SCE. The ECL spectra acquired over the potential scanning remained a constant peak wavelength at 930 nm, indication of similar ECL mechanisms as in the case of Figure 3. The [TPrA] has no appreciable effect on the ECL generation pattern of the coreactant system. Importantly, the ECL peak height with 50 mM TPrA at 1.200 V vs SCE is four times higher than that with 6.3 mM TPrA, expected for the generation of a higher concentration of the TPrA radical with increased [TPrA].

The ECL–potential curves along with corresponding spooling ECL spectra of the  $\text{Au}_{38}$  solution in the presence of 12.5, 25, 100, and 200 mM TPrA are shown in the SI (Figures S7–S10). No appreciable peak wavelength change was observed. In the TPrA concentration range between 6.5 and 50 mM, the higher the TPrA\*, the faster the reaction rate of the electrogenerated  $\text{Au}_{38}$  charged species with TPrA\* at the same

potentials, and thus the higher concentration of the excited states and the brighter the ECL intensity. The ECL intensity drops if the TPrA concentration is higher than 50 mM, indicating possible quenching of ECL by the TPrA coreactant at very high concentrations; an effect observed in other ECL systems using this coreactant.<sup>27</sup>

The accumulated ECL spectra (A–F) in the presence of TPrA concentrations of 6.2 to 200 mM are shown in Figure 7, which were obtained for two successive cycles of potential scanning between  $-0.51$  to  $1.3$  V vs SCE. It can be noticed that the ECL emissions have the same peak wavelength (930 nm), as confirmed by the spooling experiments. These results are in good agreement with the observed spooling PL spectra obtained after electrolysis (Figure 5).

Figure 8 illustrates a good correspondence between the integrals of ECL–potential curves (black, Figures 2 and S6–S10 (SI)) vs time and of the accumulated ECL spectra (red, Figures 3, 6, S7–S10 (SI)) vs wavelength in the presence of various [TPrA]. It is worth noting that the highest ECL emission was reached in the presence of 50 mM TPrA, while further increased [TPrA] to 100 and 200 mM decreased ECL intensity, mainly due to the quenching effect caused by the high concentration of the coreactant.<sup>27</sup> The ECL efficiency of the  $\text{Au}_{38}$ /TPrA system was calculated *versus*  $\text{Ru}(\text{bpy})_3^{2+}$ /TPrA system and shown in Table 1 (see the SI for the methodology). It is exciting to note that a high relative ECL efficiency of  $>350\%$  was obtained with 50 mM TPrA, disregarding higher values with 100 and 200 mM TPrA. Since the Andor iDUS BR-DD CCD camera has a very similar response sensitivity for both the  $\text{Ru}(\text{bpy})_3^{2+}$  (emission at 650 nm) and  $\text{Au}_{38}$  (emission at 930 nm), our ECL efficiency measurements should be very reliable. In contrast, our conventional ECL efficiency determination using a PMT underestimated the values, due to the dramatic depleted PMT sensitivity in the NIR region (see SI for details) with wavelength longer than 830 nm.

Also investigated was the effect of scan rate on ECL of the  $\text{Au}_{38}$  nanoclusters in the presence of 50 mM TPrA

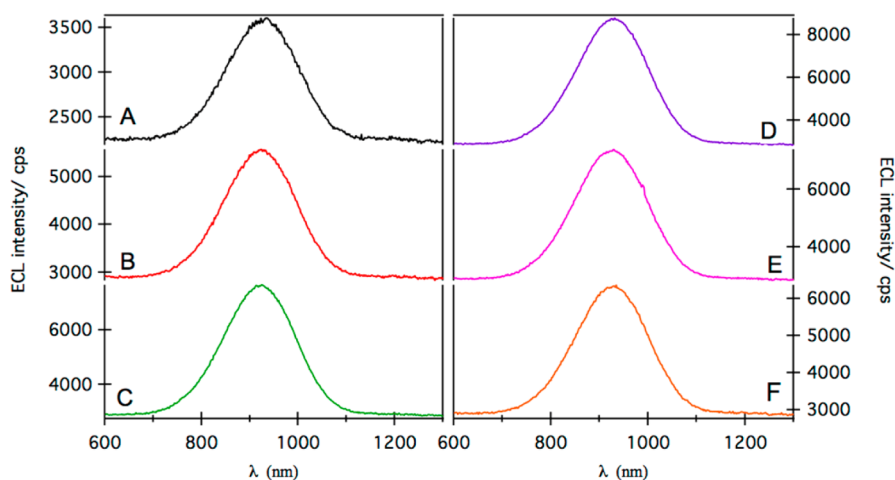


Figure 7. Accumulated ECL spectra for various [TPrA] from 6.3 (A) to 12.5 (B), 25 (C), 50 (D), 100 (E) and 200 (F) mM.

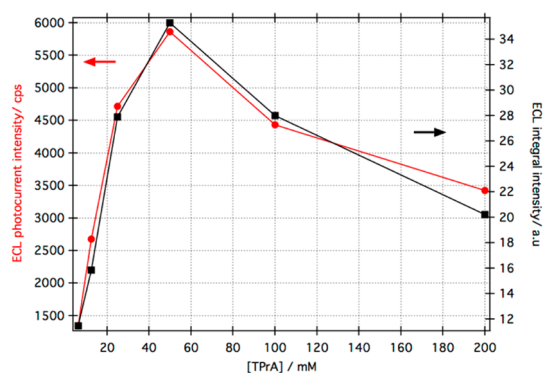


Figure 8. Integrals of ECL–potential curves (acquired using the PMT) vs time (black) and ECL intensity (measured by the CCD camera and spectrograph set) vs wavelength (red) in the presence of various [TPrA]. These integrated values are equivalent to the numbers of photons emitted.

at 25, 50, 75, 100, 200, and 400  $\text{mVs}^{-1}$ , respectively. Figure S11 (SI) shows accumulated ECL spectra and ECL–potential curves along with CVs at the above scan rates. The corresponding ECL spooling spectra (with a time interval of 1 s) are displayed in Figure S12 (SI). The trend illustrates that lower scan rate generates relatively higher ECL intensity in the system. The slower the scan rate, the more the charge injected and the higher the concentrations of the two species that participate the electron transfer reaction (such as eqs 3, 7 and 12) to generate the excited state. The ECL process is photon generation per charge injection and its intensity in general depends on the availability of these species. In addition, the ECL generation depends also on the stability and reactivity of the participating species. From the results in Figures S11 and S12 (SI), it is plausible that the concentration factor dominates in the coreactant system.

**ECL of the  $\text{Au}_{38}$ /BPO Coreactant System.** On the basis of the two consecutive electrochemical reduction reactions of the  $\text{Au}_{38}$  in the cathodic region, it is also of interest to investigate ECL emission in the presence of BPO, from which a strong oxidizing intermediate, benzoate radical ( $\text{C}_6\text{H}_5\text{CO}_2^\bullet$ ) with a reduction potential

TABLE 1. Calculated ECL Efficiency for the  $\text{Au}_{38}$ /TPrA System with Various [TPrA] in Reference to That of  $\text{Ru}(\text{bpy})_3^{2+}$ /TPrA in 1:1 Acetonitrile:Benzenes Electrolyte Solution<sup>a</sup>

| [TPrA]/mM | ECL eff./% |
|-----------|------------|
| 6.3       | 13         |
| 12.5      | 24         |
| 25        | 46         |
| 50        | 354        |
| 100       | 591        |
| 200       | 836        |

<sup>a</sup> Absolute quantum ECL efficiency of  $\text{Ru}(\text{bpy})_3^{2+}$  is 0.05.

of 1.5 V (eq 9), can be produced.<sup>28</sup> In addition, we have recently reported the ECL of the  $\text{Au}_{25}(\text{SR})_{18}$  cluster/BPO system.<sup>25</sup> Among various organic soluble coreactant in ECL studies, BPO have been used extensively mainly due to its solubility in majority of organic solvents.

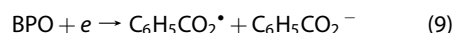


Figure 9A illustrates cyclic voltammogram and ECL–potential curve of 0.1 mM  $\text{Au}_{38}$  clusters in the presence of 5 mM BPO in 1:1 acetonitrile:benzene mixture. The CV shows a reduction peak at  $\sim -1.5$  V vs SCE, at which  $\text{C}_6\text{H}_5\text{CO}_2^\bullet$  was generated in the vicinity of the working electrode (eq 9). The ECL onset was at  $-1.0$  V vs SCE, indicating electronic interaction between negatively charged species of the  $\text{Au}_{38}$ , and  $\text{C}_6\text{H}_5\text{CO}_2^\bullet$ . By comparing the reduction potentials of  $\text{Au}_{38}^{0/-}$  (eq 10) and  $\text{Au}_{38}^{-/2-}$  (eq 11) with the onset potential value, it can be noticed that at  $-1.0$  V, the  $\text{C}_6\text{H}_5\text{CO}_2^\bullet$  reacted with  $\text{Au}_{38}^{2-}$  (eq 12). In fact, the  $\text{C}_6\text{H}_5\text{CO}_2^\bullet$  accepts an electron from one of the two  $\text{Au}_{38}^{2-}$  HOMO orbital (the inset in Figure 1), producing the  $\text{Au}_{38}^{-*}$  excited state. The  $\text{Au}_{38}^{-*}$  then relaxed to the ground state, emitting light (eq 13). The acquired ECL spectrum displayed a peak wavelength of 930 nm (Figure 9B), very close to what we observed for the  $\text{Au}_{38}$ /TPrA system. The ECL peak maximum in the ECL–potential curve was found to be at  $-1.345$  V vs



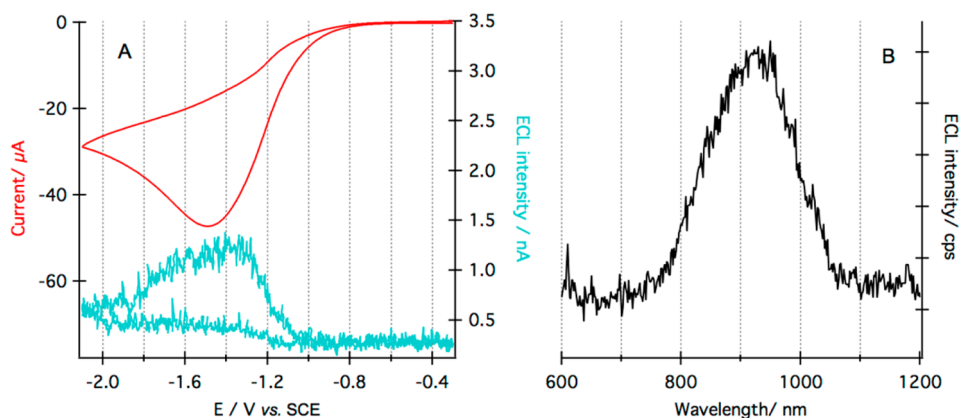
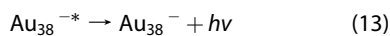
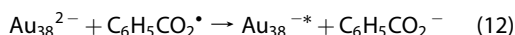
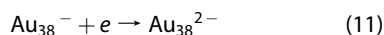
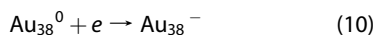


Figure 9. (A) Cyclic voltammogram (red) and ECL-potential curve (teal) of the 0.1 mM  $\text{Au}_{38}$  electrolyte solution in the presence of 5 mM benzoyl peroxide (BPO). (B) The accumulated ECL spectrum of the same solution recorded on an Andor BR-DD CCD camera cooled at  $-65\text{ }^{\circ}\text{C}$  and attached to an Acton spectrograph.

SCE, at which the  $\text{Au}_{38}$  cluster was reduced all the way to  $\text{Au}_{38}^{2-}$  and the  $\text{C}_6\text{H}_5\text{CO}_2^{\bullet}$  reached its maximum concentration in the vicinity of the working electrode. Thus, electron removal from  $\text{Au}_{38}^{2-}$  HOMOs formed  $\text{Au}_{38}^{-*}$  (eq 12). The ECL enhancement is related to the high concentrations of both electrogenerated  $\text{C}_6\text{H}_5\text{CO}_2^{\bullet}$  and  $\text{Au}_{38}^{2-}$ . Additionally, the close potential of the reduction of  $\text{Au}_{38}$  and BPO might lead an efficient interaction between these two species. Therefore, the electrogenerated  $\text{Au}_{38}^{2-}$  will mostly react with benzoate radical and participate in ECL process, rather than simply involve an EC reaction and lose a ligand (please see the electrochemistry section).



The ECL peak wavelength is again in good agreement with that of PL spectrum shown in Figure 6E. This similarity in the peak wavelength suggests that the related ECL and PL relaxation processes and emission through similar mechanisms. The formed  $\text{Au}_{38}^{-}$  might participate in a catalytic reaction and get reduced again on the electrode surface. The reduction waves of  $\text{Au}_{38}^{-}$  to  $\text{Au}_{38}^{2-}$  are less negative than that for  $\text{C}_6\text{H}_5\text{CO}_2^{\bullet}$  radical formation. One can argue that this is the main reason for the lower ECL light emission intensity of the  $\text{Au}_{38}$ /BPO system, while close potentials for the

formation of TPrA radical and  $\text{Au}_{38}^{2+}$  and  $\text{Au}_{38}^{3+/4+}$ , result in higher ECL intensity. The ECL efficiency relative to the  $\text{Ru}(\text{bpy})_3^{2+}$ /BPO system was determined to be 7.2%, which was much lower than that of the  $\text{Ru}(\text{bpy})_3^{2+}$ /TPrA system. Higher concentrations of BPO were also tested. No ECL emission was observed, due to the quenching of ECL by high BPO concentration.<sup>38</sup>

## CONCLUSIONS

For the first time the ECL of the  $\text{Au}_{38}$  cluster is reported, displaying a unique peak wavelength at 930 nm in the NIR region. The results suggest all accessible excited states of  $\text{Au}_{38}$  cluster emit at the same wavelength, which was unambiguously corroborated from the *in situ* spectrophotoelectrochemistry. It was also revealed that among the charged  $\text{Au}_{38}$  species, the  $\text{Au}_{38}^{-}$  generates the highest PL intensity. The short lifetime of both the electrogenerated  $\text{Au}_{38}^{2-}$  and  $\text{Au}_{38}^{3+/4+}$  species or their reactivity limited ECL emission in the annihilation route. Coreactants such as TPrA and BPO greatly enhanced the ECL emissions. Spooling ECL spectroscopy confirmed that and  $\text{Au}_{38}^{+*}$  (and  $\text{Au}_{38}^{3+*}$ ) and  $\text{Au}_{38}^{-*}$  were involved in the ECL emission processes in the presence of TPrA and BPO, respectively. Also, the accumulated ECL spectra showed that ECL emission peak wavelengths, at  $\sim 930$  nm in NIR region, does not change by altering the TPrA concentration or the applied potential. Interestingly, the ECL intensity can be tuned by changing the coreactant concentration or working electrode potential. ECL efficiency was determined to be 3.5 times higher than that of the  $\text{Ru}(\text{bpy})_3^{2+}$ /TPrA system. It is anticipated that the NIR-ECL of the  $\text{Au}_{38}$  clusters will find electroanalytical applications in imaging of live cells.

## EXPERIMENTAL SECTION

**Chemicals.** Hydrogen tetrachloroaurate trihydrate (Aldrich, 99.9%), phenylethanethiol (Aldrich, 98%), sodium borohydride (Aldrich, 99%), methanol (Caledon, 99.8%), trans-2-[3-(4-*tert*-

butylphenyl)-2-methyl-2-propenylidene]-malononitrile (DCTB, Aldrich 98%), ethanol (Caledon, 99.8%), and acetonitrile (Caledon) were used as received. Tetra-*n*-butylammonium perchlorate was provided by Fluka (99%) and kept in dedicator before use. Anhydrous acetonitrile (99.8%) and anhydrous

benzene (99.8%), benzoyl peroxide (Luperox A98, 98%), Tri-*n*-propylamine (Aldrich, 98%) were purchased from Aldrich.

**Synthesis of Au<sub>38</sub>(SC<sub>2</sub>H<sub>4</sub>Ph)<sub>24</sub> Clusters.** Monodispersed Au<sub>38</sub> clusters were prepared according to size selecting synthetic procedure with some modification.<sup>9</sup> Briefly, 196.6 mg (0.5 mmol) HAuCl<sub>4</sub>·3H<sub>2</sub>O and 614.0 mg (2.0 mmol) glutathione powder were mixed in 20 mL of acetone at room temperature, which was stirred for 20 min. The resulted yellowish cloudy suspension was then cooled to 0 °C and kept for another 20 min. A solution of NaBH<sub>4</sub> (5 mmol, 189.0 mg, dissolved in 6 mL of cold deionized water) was rapidly added to the suspension under vigorous stirring. The color of the solution immediately turned black after the addition, indicating the formation of clusters. The black Au<sub>*n*</sub>(SG)<sub>*m*</sub> intermediate clusters were found to precipitate out and stick to the inner wall of the flask. The supernatant was then decanted and the residual was dried. The obtained Au<sub>*n*</sub>(SG)<sub>*m*</sub> was redispersed in 6 mL of nanopure water, to which a mixture of 0.6 mL of ethanol, 2 mL of toluene, and 2.5 mL of phenylethethiol was added. The biphasic solution was heated to and maintained at 80 °C under reflux for overnight. It has been observed that by following the previously reported procedure by Qian's protocol a metallic layer of gold is formed on the reaction flask after 40 h reflux. This is an indication of decomposition of the desired product, when the protecting ligand removes from the gold clusters under the thermal condition. Thus, we increased phenylethene thiol ratio slightly higher (2.5 mL) with a shorter reaction time (18 h at 80 °C) to prevent the desired product decomposition. Our modification led to the formation of desired Au<sub>38</sub> clusters overnight with ~30% yield based on elemental Au. The UV–vis spectrum of the crude product was measured after 18 h and compared to the reported spectrum.<sup>9</sup> Then, the reaction was cooled down and the mixture was extracted for several times with toluene, and the organic phase was extracted using dichloromethane and collected. The toluene was evaporated under a vacuum, and a dark green crude oily product formed. The solid was obtained after washing the oily crude product thoroughly with methanol to remove excess of the thiol. The pure Au<sub>38</sub>(SC<sub>2</sub>H<sub>4</sub>Ph)<sub>24</sub> clusters were extracted using dichloromethane and characterized by UV–vis–NIR spectroscopy and Matrix assisted laser desorption/ionization mass spectrometry. The UV–vis–NIR spectrum of the pure compound showing distinct features of Au<sub>38</sub> clusters with peak wavelength at 478 (2.60 eV), 626 (1.98 eV), 743 (1.66) and 1028 (1.20 eV) nm (Figure S1 (SI)). The MALDI spectrum showed a parent peak at 10776.0 Da (Cal. 10778.0 Da) and other peaks produced after removal of Au<sub>4</sub>(SR)<sub>4</sub> fragments (Figure S2 (SI)).

**Characterization.** UV–vis–NIR spectra were recorded using a Varian Cary 5000 spectrophotometer. An AB Applied Biosystem mass spectrometer (4700 Proteomics Analyzer) was employed to obtain the MALDI-TOF spectra. The sample was prepared by mixing 0.2:1000 analyte to matrix ratio. Then, 7 μL of the mixture was casted on the target plate and air-dried.

**Electrochemistry and ECL Instrumentations.** The electrochemistry and ECL of the Au<sub>38</sub>(SC<sub>2</sub>H<sub>4</sub>Ph)<sub>24</sub> cluster were carried out using a 2 mm diameter Pt disc inlaid in a glass sheath as the working electrode (WE), a coiled Pt wire as the counter electrode (CE), and a coiled Pt wire as the quasi-reference electrode (QRE). After each experiment, the electrochemical potential window was calibrated using ferrocene as the internal standard. The redox potential of the ferrocene/ferrocenium (Fc/Fc<sup>+</sup>) couple was taken as 0.424 V vs SCE.<sup>39</sup> In annihilation ECL studies, a solution containing approximately 3 mg of Au<sub>38</sub> clusters, 0.1 M TBAP as the supporting electrolyte and 1.5 mL anhydrous acetonitrile and anhydrous benzene was added to the electrochemical cell with a flat Pyrex window at the bottom for detection of generated ECL, which was assembled in a glovebox. For co-reactant studies, 5.0 × 10<sup>-3</sup> M BPO was added to the annihilation solution and the air-tighten cell was also assembled in a drybox. Different concentrations of TPrA were also added to the electrochemical cell under Ar (99.999%) blanket to prevent oxygen entering the sample solution.

The cyclic voltammetry was performed on a CHI 610A electrochemical analyzer (CH Instruments, Austin, TX). The general experimental parameters for cyclic voltammograms (CVs) are listed here as follows: 0.000 V initial potential in

experimental scale, positive or negative initial scan polarity, 0.1 V s<sup>-1</sup> scan rate, 4 sweep segments, 0.001 V sample interval, 2 s quiet time, 1.5 × 10<sup>-5</sup> AV<sup>-1</sup> sensitivity. The ECL–potential curves were obtained using the CHI 610A coupled with a photomultiplier tube (PMT, R928, Hamamatsu, Japan) held at -750 V with a high voltage power supply. The ECL was collected by the PMT under the flat Pyrex window at the bottom of the cell was measured as a photocurrent, and transformed to a voltage signal, using a picoammeter/voltage source (Keithley 6487, Cleveland, OH). The potential, current signals from the electrochemical workstation, and the photocurrent signal from the picoammeter were sent simultaneously through a DAQ board (DAQ 6052E, National Instruments, Austin, TX) to a computer. The data acquisition system was controlled from a custom-made LabVIEW program (ECL\_PMT610a.vi, National Instruments, Austin, TX). The photosensitivity on the picoammeter was set manually in order to avoid the saturation.

The ECL spectra were recorded using the Andor Technology program. Similar to the CV experiments, the samples were scanned between their redox potentials. Since the ECL is in NIR region, ECL spectroscopy was conducted on an Acton 2300i spectrograph with two gratings (50 l/mm blazed at 600 nm and 300 l/mm blazed at 700 nm) and an Andor iDUS CCD camera (Model DU401-BR-DD-352). The set of the spectrograph and camera was calibrated using a mercury lamp each time. The accumulation spectra were recorded during two successive potential scan cycles as discussed in each experiment. The spooling ECL spectra were acquired at a time interval of 1 s or a potential increment of 100 mV with the potential scan rate of 100 mVs<sup>-1</sup>.

**In Situ Spectrophotoelectrochemistry.** The photoluminescence spectra of various Au<sub>38</sub> charge states (Figure 5) were obtained in the course of electrolysis using 1 mm thin layer quartz cell (BASi) containing the same 0.1 mM Au<sub>38</sub> electrolyte solution used in the electrochemical and ECL studies, freshly prepared under Ar atmosphere. The electrolysis was performed using a Pt mesh as the working electrode, a nonaqueous reference electrode (Ag wire immersed in 0.01 M Ag<sup>+</sup>/0.1 M TBAP in acetonitrile), and a Pt wire as the counter electrodes.<sup>12</sup> The mesh electrode area was excited using a 532 nm laser, and single or spooling photoluminescence spectra were acquired from the narrow side of the thin layer solution by means of the same CCD camera and Acton spectrograph set. A long-pass edge filter was placed between the sample and the spectrograph entrance to cut the excitation wavelength and harmonic peaks.

**Conflict of Interest:** The authors declare no competing financial interest.

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**Supporting Information Available:** UV–vis–NIR and MALDI spectra of Au<sub>38</sub>(SC<sub>2</sub>H<sub>4</sub>Ph)<sub>24</sub>, full scale CVs of Au<sub>38</sub> clusters at 100 and 1000 mV/s, CVs of Au<sub>38</sub> clusters at different scan rates in anodic and cathodic regions, ECL–potential curve of the Au<sub>38</sub> with 50 mM TPrA, ECL–potential curve and spooling spectra of the Au<sub>38</sub> with 25, 100, and 200 mM TPrA, accumulated ECL spectra and ECL–potential curves of Au<sub>38</sub> in the presence 50 mM TPrA at different scan rates, spooling ECL spectra of Au<sub>38</sub> in the presence 50 mM TPrA at different scan rates, photoluminescence and accumulated ECL spectrum of Au<sub>38</sub> with 5 mM BPO, spectrum response curves for the PMT and iDus CCD camera. This material is available free of charge via the Internet at <http://pubs.acs.org>.

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